



The Personal Data Protection Act B.E. 2562 grants data subjects the right to request the exercise of their rights regarding personal data under the responsibility of Kasikorn Securities Public Company Limited (“the Company”) in its capacity as a data controller. The information required in this form is necessary for processing your request. Therefore, if you wish to exercise your rights as a data subject, please complete the details in this form and proceed in accordance with the Company’s specified procedures.

### 1. Personal Data Subject Information

Name-Surname..... Account Number.....

### 2. Data Subject’s Representative Information

*(In cases where the applicant is the legal guardian of a minor\*, the curator of an incompetent person, or the guardian of a quasi-Incompetent person)*

Name-Surname.....Thai National ID Card / Passport Number.....

Current Address House No. .... Floor..... Village/Building.....Moo (Village No.)..... Alley/Lane.....

Road.....Sub-district.....District.....

Province.....Postal Code.....Home Telephone.....Mobile Phone.....

E-mail\* : .....(\*Please use uppercase English letters only.)

*( \*A minor means a person under 20 years of age who has not attained legal capacity through marriage or does not have the status equivalent to a person of full age under Section 27 of the Civil and Commercial Code.)*

### 3. Delivery of Notification of Rights as Requested

- ☐ Delivery by Registered mail to the document delivery address of the personal data owner.
- ☐ Delivery by Registered Mail to the address specified in Clause 2.
- ☐ Delivery via E-mail provided by the personal data owner to the company.
- ☐ Delivery via E-mail specified in Clause 2.

### 4. Identity Verification Documents (For the Representative of the Data Subject under Item 2)

To ensure that the Company delivers the requested information to the correct individual, please submit identity verification documents confirming your entitlement to receive the information requested under the Personal Data Protection Act. If you are unable to provide sufficient evidence of identity verification, the Company reserves the right to refuse disclosure of personal data in response to your request.

- ☐ Copy of the Data Subject’s National Identification Card / Passport, duly signed to certify as a true copy and;
- ☐ Copy of the Representative’s Thai National Identification Card / Passport, duly signed to certify as a true copy and;
- ☐ Copy of Birth Certificate or Copy of Household Registration (in cases where the Data Subject is a minor).
- ☐ Copy of the Appointment Document as Representative of the Data Subject (in cases of legal guardian, curator, or guardian appointed by court order).



## 5. Details of the Request

## 5.1 Relationship of the Data Subject with the Company

- ☐ Current Customer    ☐ Former Customer    ☐ Business Partner    ☐ Service Provider/Distributor  
☐ Visitor    ☐ Website / Application User    ☐ Other: .....

## 5.2 Requested Period

Start Date: ..... End Date: .....

## 5.3 Rights Requested by the Data Subject

- ☐ Right to Access Personal Data (please provide details in Item 6)  
☐ Right to Request Transmission or Transfer of Personal Data to another Data Controller\*  
 (please provide details in Item 7)  
☐ Right to Object to Processing\* (please provide details in Item 8)  
☐ Right to Request Erasure or Destruction of Personal Data\* (please provide details in Item 9)  
☐ Right to Request Restriction of Use of Personal Data\* (please provide details in Item 10)

(\*Exercise of these rights is subject to approval by the CO and DPO executives.)

## 6. Right to Access Personal Data

Please specify the personal data that you wish to access, in order to assist the Company in processing your request. (e.g., deposit/withdrawal statement, trading transactions, related dates, associated business, products, and services).

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Please specify the reasons for requesting access to your personal data.

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**Note for the Company Employees:** Documents normally issued by the Company to customers do not require approval by the Compliance Department and the Data Protection Officer (DPO), such as account opening documents, contracts, trade confirmation, monthly statement, letter confirming securities status, and other confirmation letters.

## 7. Right to Request Transmission or Transfer of Personal Data to Another Data Controller

7.1 The right to request the transmission or transfer of your personal data does not apply to personal data in document form. In processing your request, the Company will prepare a copy of your personal data in an organized, commonly used, and machine-readable format, or the Company will transmit or transfer your personal data to another Data Controller as requested, if technically feasible. Please specify the personal data you wish to have transmitted or transferred (such as type, content, or specific information).

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7.2 If you wish the Company to transmit or transfer a copy of your personal data to another Data Controller, please provide the relevant information for such transmission or transfer.

Name of Data Recipient.....

E-Mail .....

Other Channels (please specify) .....

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Postal Address

No. .... Moo./Village No. .... Building ..... Floor ..... Village .....

Soi/Lane ..... Road ..... Sub-district.....

District..... Province ..... Postal Code .....

Please specify the reasons for requesting the transmission or transfer of your personal data to another Data Controller.....

#### 8. Right to Object to Processing

Please specify the processing of your personal data that you wish to object to.

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Please specify the reasons for objecting to the processing of your personal data.

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#### 9. Right to Request Erasure or Destruction of Personal Data

Please specify the personal data that you wish to have erased or destroyed.

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Please specify the reasons for requesting the erasure or destruction of your personal data.

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**10. Right to Request Restriction of the Use of Personal Data**

Please specify the personal data for which you request restriction of use.

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Please specify the reasons for requesting the restriction of the use of your personal data.

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**11. Company's Reservation of Rights**

11.1 The Company may be required to refuse your request in the following circumstances, in order to comply with applicable laws:

11.1.1 Your request is unreasonable, for example, you do not have the authority to exercise the right, or you have repeatedly submitted requests of the same nature or content without valid reasons.

11.1.2 In cases where you request to exercise the right to object to processing based on the Company's legitimate interests, if the Company can demonstrate that such processing is for overriding legitimate grounds more important than your rights, or for the establishment of legal claims, compliance with legal claims, exercise of legal claims, or defense against legal claims, or for compliance with the law under Section 32 of the Act, the Company may continue processing such data.

11.1.3 Where erasure or destruction of data would affect freedom of expression, legal obligations, public interest in public health, scientific or historical research, or the establishment or defense of legal claims, the Company may not erase or destroy the data as requested, in accordance with Section 33 of the Act.

11.1.4 Your personal data has already been destroyed, erased, or anonymized in accordance with the Company's data retention practices.

11.2 The Company will not charge any fees for processing your request. However, the Company may impose reasonable fees if it appears that your request is excessive, repetitive in nature, unfounded, or disproportionate. The applicable fees will depend on the Company's data management costs.

11.3 The Company will process your request within 30 days from the date of receipt of your request together with all required information and supporting documents. Nevertheless, the Company may extend the period to 60 days (if necessary), depending on the complexity and volume of the request. Except for requests to delete or destroy data, the Company will proceed with your request within 90 days from the date of receipt, provided that all required information and supporting documents have been fully submitted by you.

11.4 If the Company refuses your request, the Company will inform you of the reasons.

- In the case of the Data Subject: Notification will be made via the E-mail or postal address previously provided to the Company.

- 11.5 The Company may contact you to request additional information or documents if your request is unclear, or if you have not provided sufficient information or supporting evidence for the Company to proceed with your request.

I have read and understood the contents of this request form and confirm that the information I have provided herein is true and that I am entitled to exercise the rights specified above under the provisions of the Act. I acknowledge that the Company must verify and confirm my identity (including my legal authority to act on behalf of the Data Subject, in cases where I am a representative of the Data Subject) and may require additional information or documents in order to process my request. I further understand that I may be subject to legal action by the Company if I intentionally provide false information with fraudulent intent.

..... Date .....

( ..... ) Full Name in Block Letters

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